



New supramolecular metallo-terpyridine carboxymethyl cellulose derivatives with antimicrobial properties



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ABSTRACT

Preparation of a new water-soluble, cellulose derivative via a supramolecular route is presented. In a one-step procedure, carboxymethyl cellulose (CMC) was reacted with the $\text{Cu}(\text{BF}_4)_2$ complex of 4'-chloro[2,2':6',2'']terpyridine to generate the desired CMC– Cu^{II} –terpyridine derivative. This polymeric salt was characterized by elemental analysis, ultraviolet–visible spectroscopy (UV–visible), Fourier transform infrared (FTIR), X-ray diffraction (XRD), X-ray photoelectron spectroscopy (XPS), rheological properties measurements, thermogravimetric analysis (TGA), dynamic mechanical thermal analysis (DMTA), and tensile strength properties testing. In addition, antimicrobial properties were demonstrated against Gram-positive bacteria (*Staphylococcus aureus* and *Streptococcus thermophilus*), Gram-negative bacteria (*Escherichia coli*), and yeast (*Saccharomyces cerevisiae*). The minimum inhibitory concentration of the prepared metallo-terpyridine CMC derivative against the studied microorganisms ranged from 6 to 8 mg/L to achieve $\geq 90\%$ of microbial growth inhibition.

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1. Introduction

The practice of using cellulose for preparation of new polymeric derivatives has been on-going for more than a century. Carboxymethyl cellulose (CMC, **1**) is an important as well as very useful carboxylate-modified, ether derivative, consisting of two units: β -D-glucose and β -D-glucopyranose 2-O-(carboxymethyl) monosodium salts, which are connected via β -1,4-glycosidic bonds. Attachment of the carboxymethyl moieties occurs predominantly at the C-2 and C-6 glucose positions with a preference for C-6 vs. C-2 (Heinze & Pfeiffer, 1999). Its water solubility, rheological properties, non-toxicity, and polyelectrolyte nature favor its use in many diverse applications, such as food additives (Parvar, Tehrani, Razavi, & Koocheki, 2013), pharmaceuticals (Chukwumezie, Wojcik, Malak, & Adeyeye, 2002), bone regeneration (Jiang, Li, Zhang, & Wang, 2009), adhesives (Kawamoto, 2003), textiles (Krizova & Wiener, 2013), pesticides (Nisar, Kumar, Shakil, Pankaj, & Parmar, 2009),

detergents (Verraest, Peters, van Bekkum, & van Rosmalen, 1996), paper (Li, Liu, Xu, & Xu, 2010; Watanabe, Gondo, & Kitao, 2004), and ceramic binders (Khosrowshahi & Salem, 2011).

In spite of the numerous desirable properties of CMC, it still lacks resistance to microbial attack due to its polysaccharide nature and absence of antimicrobial functional groups. Different routes have been studied to impart CMC antimicrobial properties, such as: the addition of antimicrobial agents (Liu, Han, Zhang, Li, & Li, 2012; Ng & Jumaat, 2014; Sayanjali, Ghanbarzadeh, & Ghiassifar, 2011); metal nanoparticles (Hebeish, Hashem, El-Hady, & Sharaf, 2013; Malmiri, Tan, Rahman, & Osman, 2013; Percival et al., 2012; Valappil et al., 2013; Zhong, Oporto, Jaczynski, Tesfai, & Armstrong, 2013), antimicrobial polymers (Yu et al., 2013); and grafting with other moieties possessing antimicrobial activity (El-Sherbiny, Salama, & Sarhan, 2009).

Terpyridines are N-heterocycles that exhibit high binding affinity toward transition metal ions due to strong $d\pi$ – $\pi\pi^*$ back-bonding of the metal to the pyridine rings and the chelate effect. These terpyridine metal complexes possess distinct photo-physical, electrochemical, and magnetic properties and have been widely investigated (Schubert, Winter, & Newkome, 2011). Notably, antimicrobial properties of terpyridine metal complexes have been investigated (El Basel Hassanien, 2004; Kharadi, 2014;

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Kharat, Foroutannejad, & Khavasi, 2012; Naseri, Kharat, Banavand, Bakhoda, & Foroutannejad, 2012; Pandrala et al., 2013; Patel, Singh, Shukla, Gundla, & Chauhan, 2006; Patel, Parmar, Gandhi, & Thakkar, 2010; Patel, Joshi, & Patel, 2012a; Patel, Dosi, & Bhatt, 2012).

Terpyridine-containing polymers have also been investigated in which terpyridine ligands have been (1) incorporated into monomers that were subsequently polymerized, (2) attached as polymeric chain ends to be utilized in the formation of block copolymers, and (3) attached to polymeric backbone to generate new materials utilizing the available pendent terpyridine units that can be further transformed into metallo-supramolecular assemblies (Aamer & Tew, 2007; Breul et al., 2013; Chiper, Hoogenboom, & Schubert, 2009, 2010; Constable, 2007; Dobrawa, Ballester, Saha-Möller, & Würthner, 2006; Hassan, Moorefield, Elbatal, & Newkome, 2012; Hassan, Moorefield, Elbatal, & Newkome, et al., 2012; Köytepe, Demirel, & Seçkin, 2013; Köytepe, Demirel, Gültek, & Seçkin, 2013; Li, Guo, et al., 2013; Li, Zhu, et al., 2013; Maeda, Sakamoto, & Nishihara, 2013; Muroi, Zhang, Higuchi, & Maki, 2013; Yang et al., 2013; Zhang, Zhang, & Chen, 2006); however, to the best of our knowledge, none of the terpyridine-containing metallopolymers have been investigated regarding their antimicrobial properties.

We have recently reported the preparation of terpyridine-modified cellulose nanocrystals via etherification of cellulose with 4'-chloro[2,2':6',2'']terpyridine (Cltpy) and subsequently examined their ability to assemble to metallo-supramolecular cellulose derivatives (Hassan, Moorefield, Elbatal, & Newkome, 2012; Hassan, Moorefield, Elbatal, & Newkome, et al., 2012). Herein, we report the preparation of water-soluble, terpyridine-based, supramolecular cellulose composite and demonstrate its utilitarian antimicrobial activity.

2. Experimental

2.1. Materials

Carboxymethyl cellulose (CMC) was obtained as a high viscosity grade (1000–1500 mPa for 4% in water at 25 °C) with DS of 0.93 as determined by the standard method (ASTM, 1961). 4'-Chloro[2,2':6',2'']terpyridine (Cltpy; assay 99%), Cu(BF₄)₂·6H₂O (assay 99%), NaOH, and absolute EtOH were obtained from Sigma-Aldrich and used, as received.

2.2. Preparation of CMC–Cu^{II}–terpyridine

The 4-Cl-terpyridine–Cu(BF₄)₂ [Cltpy–Cu(BF₄)₂] adduct was synthesized according to a previously published procedure (Wang et al., 2006). Reaction of Cltpy–Cu(BF₄)₂ (1.5 g, 6.82 mmol) with an aqueous solution of CMC (1 g in 150 mL of water) at 70 °C for 3 h generated the desired CMC–Cu^{II}tpyCl(BF₄) (**I**) along with a minor insoluble, cross-linked CMC–Cu^{II}–tpyCl (**II**), which was easily filtered. The filtrate contains the desired soluble CMC–Cu^{II}terpyCl(BF₄) (**I**) (Scheme 1), which was collected and purified by repeated precipitation and finally washing from/with an EtOH/water (7:3) mixture.

2.2.1. Characterization of CMC–Cu^{II}tpyCl(BF₄)

UV–visible spectra were recorded on UV-2450 Shimadzu spectrophotometer. The X-ray diffraction patterns were recorded using. The wave length of the Cu/Kα radiation source was 0.154 nm and the spectra were obtained at a 30 mA with an accelerating voltage of 40 kV. A Perkin-Elmer Thermogravimetric analyzer was used to study thermal stability. The heating rate was set at 10 °C/min over a temperature range of 50–800 °C. Measurements were conducted under N₂ with a flow rate of 50 cm³/min. XPS studies were performed using a Thermo Scientific K-ALPHA, XPS, England. Infrared

spectra were obtained by using JASCO FTIR 800 E spectrometer. The samples were measured using the KBr disc technique. The elemental analysis was conducted on a Vario El Elementar instrument. Degree-of-substitution (DS) of cellulose derivative was calculated from the nitrogen content as follows:

$$DS_x = \frac{M_c \times \%X}{100 \times M_x - \Delta M \times \%X}$$

where M_c is the molar mass of CMC monomeric unit at DS = 1; %X is the element or group; M_x is the molar mass of the element or the group; $\Delta M = M_s - M_L$, where, M_s is the molar mass of the substituent; M_L is the molar mass of the leaving group; and ΔM is the increase in molar mass of the monomeric unit at DS = 1.

Rheology of an aqueous solution (0.5 wt%) of CMC or CMC–Cu^{II}tpyCl(BF₄) (**I**) was studied using Anton Paar Rheometer (Anton Paar, Austria); a 2.5 cm plate and plate system was used at 25 ± 0.1 °C and a shear rate from 1 to 500 min^{−1}. Films were casted from aqueous solution (0.5 wt%) of CMC and **I**; each was dried in an oven under air circulation at 40 °C for 12 h. After drying, the films were conditioned at 50% relative humidity and 25 °C for 48 h before testing. Dynamic mechanical thermal properties of the films were measured using the same machine in tensile mode at a frequency of 1 Hz, strain of 0.1%, and at the heating rate of 3 °C/min over the temperature range of 25 to 200 °C. Tensile properties of the films were measured using a Lloyd universal testing machine (Lloyd, England) at crosshead speed of 2 mm/min using a load cell of 0.1 kN. The width of the films was 1 cm and the gauge length was 4 cm.

The antimicrobial activity of CMC and CMC–CltpyCu^{II}(BF₄) was evaluated by minimal inhibitory concentrations test (MIC). Gram-positive bacteria (*Staphylococcus aureus* and *Streptococcus thermophilus*), Gram-negative bacteria (*Escherichia coli*), as well as yeast (*Saccharomyces cerevisiae*) were used, as test organisms. A pre-culture of bacteria and yeast was grown in Tryptic Soy Broth medium with 0.6% yeast extract overnight at 37 or 30 °C for bacteria and yeast, respectively. The inoculums were prepared by diluting an overnight culture grown in Tryptic Soy Broth. Each microorganism culture was exposed to different concentrations (1, 2, 4, 6, and 8 mg/mL) of the modified CMC–CltpyCu^{II}(BF₄) and incubated at 37 or 30 °C with shaking at 140 rpm. After a 24 h incubation, a series of solutions were prepared by addition of 1 mL of each culture to 9 mL of sterile 0.3 mM phosphate buffer (pH 6.8), followed by seeding 100 μL of each culture solution onto an agar plate. The plates were incubated at 37 or 30 °C, according to the type of microorganism for 24 h and the surviving cells counted. The antimicrobial activity was expressed as a reduction of the bacterial colonies after contact with the test specimen and compared to the number of bacterial colonies from the control. The percentage reduction (inhibition) was calculated using the following equation:

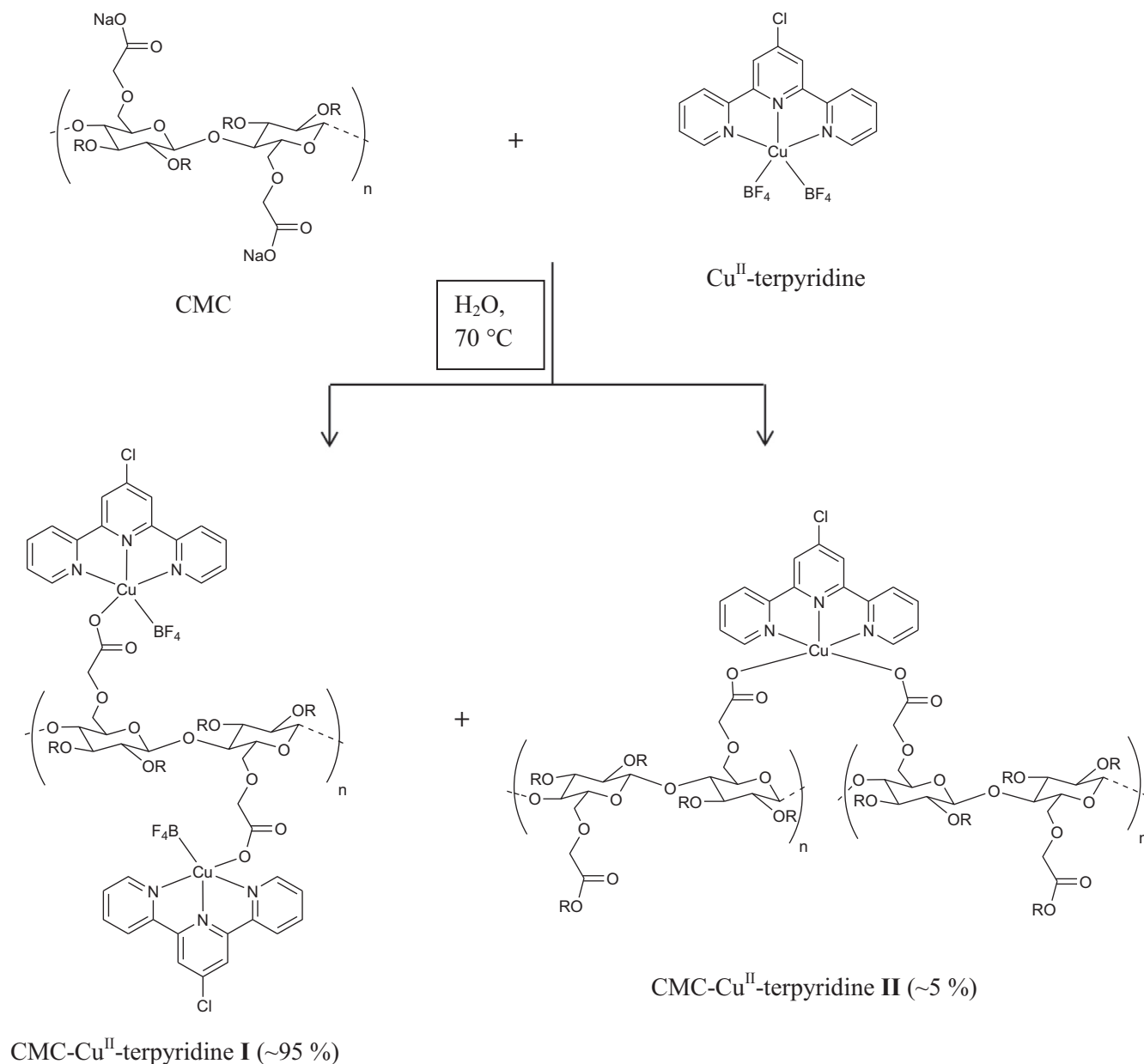
$$\text{reduction} = \frac{B - A}{B} \times 100$$

where, A are the surviving cells (CFU—colony forming units) for the plates containing the treated substrate and B are the surviving cells from the control.

3. Results and discussion

3.1. Preparation and characterization of CMC–CltpyCu^{II}(BF₄)

Reaction between CMC and Cu(BF₄)₂–tpyCl created a pentacoordinate complex involving the carboxylate groups of CMC (Wang et al., 2006); two main reactions occur leading to the formation of a complex between at least one carboxylic group of CMC chain and CMC–CltpyCu(BF₄) (Scheme 1; product **I**) or the complex between two carboxylic groups of two different CMC chains and



Scheme 1. Reaction between carboxymethyl cellulose (CMC) and Cu^{II}-terpyridine.

CMC-Cu-Cltpy (Scheme 1; product II). The second reaction needs a specific orientation of two CMC chains and Cu(BF₄)₂-Cltpy and leading to an increase in viscosity and the formation of a cross-linked product possessing low solubility. Taking into account the semi-rigid nature of CMC, formation of II is expected to be a minor component relative to I.

Thus, the major product I exhibited no notable increase in viscosity of the reaction mixture; whereas, traces (~5% by weight) of the cross-linked II that were obtained as an insoluble gel were easily removed by simple filtration. Nitrogen content of CMC-Cu^{II}Cltpy(BF₄) (I) was determined to be 2.18% indicating an *ca.* 0.77 degree-of-substitution (DS). The lower DS value of I than CMC suggests that not all of the CMC carboxylate groups form complexes with the Cu(BF₄)₂-tpyCl; notably, a reduced amount of Cu^{II}-tpyCl attachment at C-3 is expected due to steric hindrance. Fig. 1 shows the viscosity/shear rate curves for aqueous solutions (0.5 wt%) of CMC and CMC-CuCltpy(BF₄). The CMC exhibits its well-known, non-Newtonian pseudoplastic behavior with a viscosity

decrease as the shear rate increases (Lindberg, Sirviö, & Martinmaa, 1987). The decrease in viscosity is attributed to the diminished CMC chain interaction and reduction of macromolecular aggregation, which is common for polysaccharide polyelectrolytes in aqueous solution (Almedia & Dias, 1997). At low shear rates, the viscosity significantly decreased; whereas at higher shear rates, the changes in viscosity were lower. The CMC also exhibited a higher viscosity than I, since attaching Cu(BF₄)₂-tpyCl to the carboxylate groups of the polysaccharide reduced the interactions between the chains. The lower viscosity of I relative to CMC supports its formation too.

Further support for the formation of I was obtained by UV-visible spectroscopy, X-ray diffraction, and XPS. Fig. 2 shows UV-visible spectra of CMC, Cu(BF₄)₂-tpyCl, and CMC-Cu^{II}tpyCl(BF₄) (I). The Cu(BF₄)₂-tpyCl exhibited two main absorption bands at *ca.* 350 and 680 nm that are attributed to the conjugated aromatic rings (π - π^* absorption band associated with the terpyridine *N*-electrons) and metal-to-ligand-charge-transfer (MLCT) of the tridentate terpyridine-Cu^{II} unit (Fallahpour, 2003). A blue shift of the MLCT

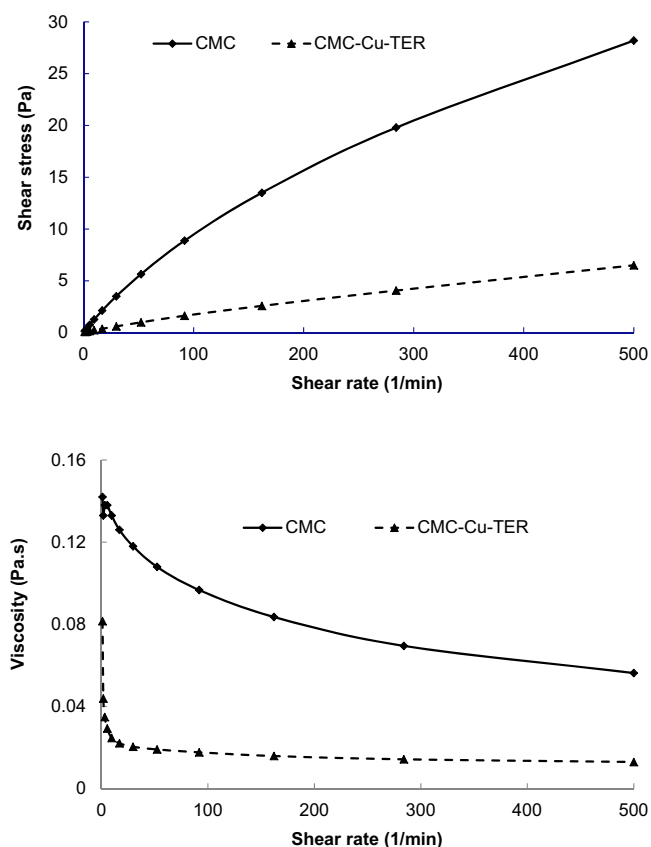


Fig. 1. Rheological properties of carboxymethyl cellulose (CMC) and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

absorption band from 680 to 660 nm was also observed upon terpyridine-Cu^{II}-carboxylate complexation.

Fig. 3 shows FTIR spectra of CMC, Cu(BF₄)₂-tpyCl, and **I**. The CMC showed its known broad bands at 3690–2990 cm⁻¹ (O–H stretching), 2960–2855 cm⁻¹ (C–H stretching), 1618 cm⁻¹ (CO₂⁻ stretching), 1435 cm⁻¹ (C–H scissoring for the CH₂ groups), 1300 cm⁻¹ (O–H bending), and 1042 cm⁻¹ (C–O–C stretching) (Rachtanapun, Luangkamin, Tanprasert, & Suriyatem, 2012). Whereas, Cu(BF₄)₂-tpyCl showed bands at 3694–3090 cm⁻¹ (water O–H stretching), 3053 cm⁻¹ (aromatic C–H stretching), 1415–1590 cm⁻¹ (stretching vibration of aromatic ring), 1630 cm⁻¹ (a shoulder for the C=N stretching), bands at 1034,

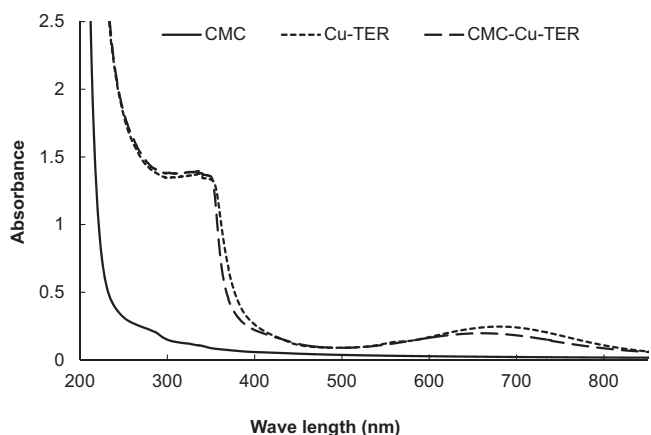


Fig. 2. UV-visible spectra of carboxymethyl cellulose (CMC), Cu^{II}-terpyridine (Cu-TER), and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

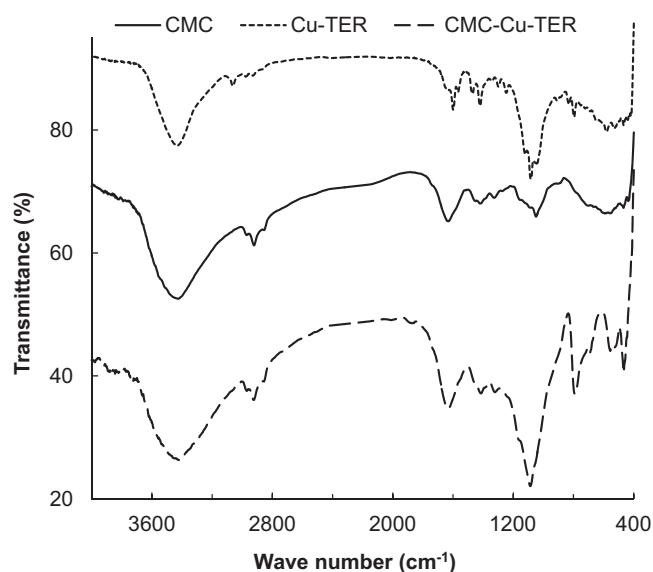


Fig. 3. FTIR spectra of carboxymethyl cellulose (CMC), Cu^{II}-terpyridine (Cu-TER), and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

1075, and 1108 cm⁻¹ (BF₄⁻), 796–890 cm⁻¹ (substituted aromatic rings), weak bands at 635, 580, and 520 cm⁻¹ (Cu–N and C–Cl stretching), respectively (Nakamoto, 1992; Fallahpour, 2003). As a result of complex formation to generate **I**, a new band of medium intensity appeared at ~470 cm⁻¹ due to stretching vibration of Cu–O bond (Huang, You, Wang, & Yao, 2009) and the intensity of Cu–N peaks became stronger as well as shifted to 568 cm⁻¹. The medium intensity band at ~800 cm⁻¹ in **I** was attributed coordination of water molecules to Cu metal ion (Nakamoto, 1992). Presence of BF₄⁻ broad band centered at 1100 cm⁻¹ also supports the formation of CMC-CutpyCl(BF₄) (**I**).

XPS spectra (Fig. 4) showed the expected characteristic 2p Cu^{II} peaks with binding energies of 935.5 and 957 eV (Folmer & Jellinek, 1980) and slightly shifted peaks to 931.5 and 951.7 eV for both Cu(BF₄)₂-tpyCl and **I** species, respectively, providing further support for their structures.

Additional evidence supporting the formation of CMC-Cu^{II}tpyCl(BF₄) (**I**) can be observed in the differences in the XRD patterns of CMC and **I** (Fig. 5) in which the CMC showed a characteristic main peak at 2-theta values at ca. 21 (100% peak) (Mohkami & Taleipour, 2011) with a d-spacing of 4.24 Å; whereas **I** exhibited a pattern possessing 2-theta peaks at 14, 16.9 (100% peak), 18.5, and 25.3 corresponding to d-spacing of 6.29, 5.28, 4.78, and 3.52 Å, respectively. The appearance of new peaks at 2-theta values of 16.9 (as the main peak) and at 13.6 as well as a change in d-spacing support the changes in the crystalline structure caused by attaching Cu(BF₄)₂-tpyCl onto CMC chains. Notably, Cu(BF₄)₂-tpyCl exhibits an XRD pattern possessing pertinent 2-theta peaks at 12.0, 18.2, 18.3 (100% peak), 25.6, corresponding to d-spacing of 7.32, 4.88, 4.86, and 3.49, respectively.

3.2. Properties of CMC-Cu^{II}tpyCl(BF₄) film

Since the CMC-CutpyCl(BF₄) (**I**) is water-soluble, it readily allows film casting from aqueous solution. Thermal stability, dynamic thermal mechanical, tensile strength, and anti-bacterial properties of films, prepared from CMC and **I** were studied. The TG curves (Fig. 6) of both films indicate initial weight loss from 50 to 150 °C due to loss of water. At ca. 240 °C, the CMC data reveal an onset thermal degradation and gas evolution (Bochek et al., 2012) resulting in a loss of ca. 48% of the weight. In comparison, the data

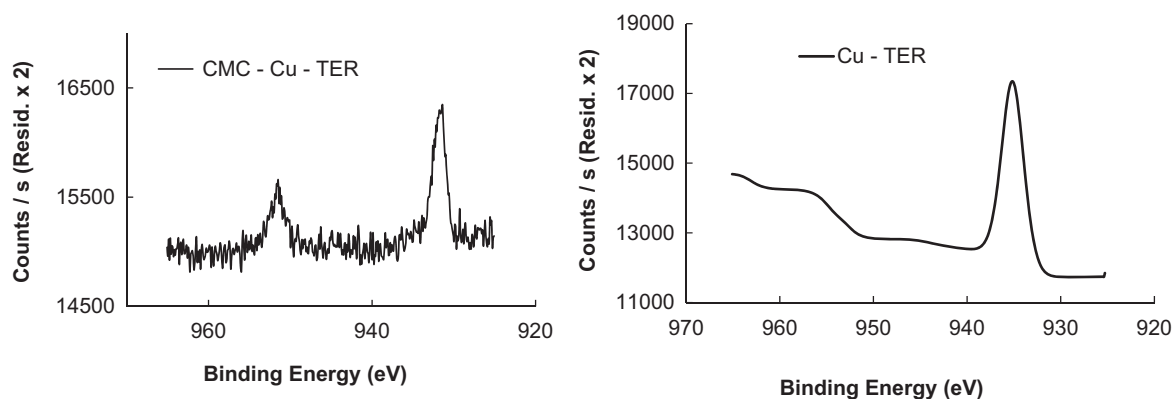


Fig. 4. XPS Copper 2p spectra of Cu^{II}-terpyridine (Cu-TER) and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

for **I** showed an onset degradation temperature at about 222 °C ending with a loss of weight 45%; whereas, the first derivative weight loss curves exhibited thermal degradation peaks at 286 °C (CMC) and 248 and 270 °C (**I**).

The DMTA plots (Fig. 7) of the films for CMC and **I** indicate changes in thermal properties of the non-modified polymer had occurred as a result of attaching CutpyCl(BF₄) units to its chain. The storage modulus of **I** was higher than that of CMC indicating higher stiffness of the film prepared from **I** than CMC due presumably

to the presence of aromatic rings in the structure of Cu^{II}-tpyCl functionalized hybrid. The storage modulus curve of CMC began to decrease at ca. 210 °C, while **I** showed a beginning decrease at ca. 219 °C; whereas, the loss modulus curve was characterized by a broad peak at 129 °C attributed to the glass transition temperature and a peak at 230 °C assigned to localized crystalline melting of the polymer (Ghanbarzadeh & Almasi, 2011). In contrast, the loss modulus curve obtained for **I** showed peaks at about 125 and 250 °C corresponding to similar thermal transitions. The melting peak is above the onset degradation temperature of the polymer, as shown in the TGA curves. The higher temperature of the melting peak (250 °C) for **I** compared to that of the CMC indicates the presence of the bulky Cu(BF₄)₂-tpyCl moieties with their three connected aromatic rings and low mobility.

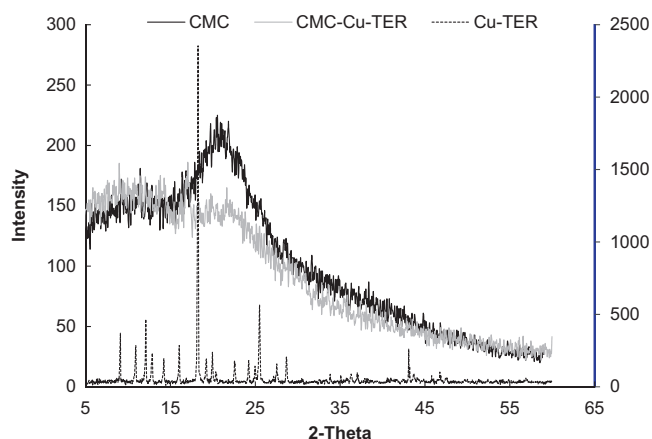


Fig. 5. XRD patterns of carboxymethyl cellulose (CMC), Cu^{II}-terpyridine (Cu-TER), and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

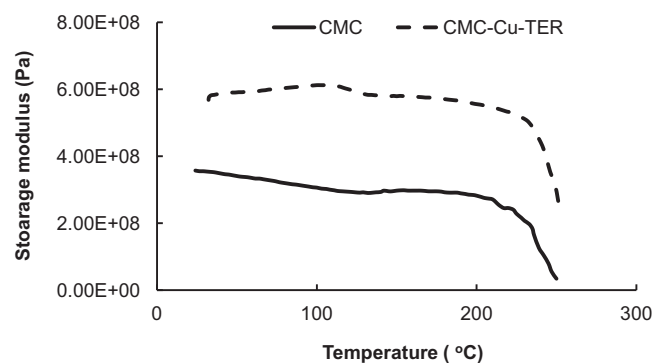


Fig. 6. TGA curves of carboxymethyl cellulose (CMC) and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

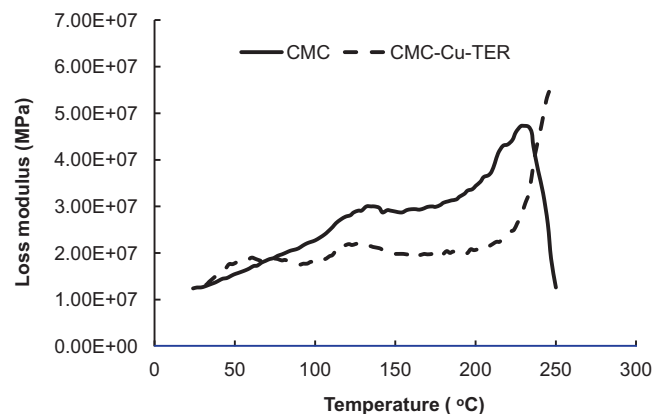


Fig. 7. DMTA curves of carboxymethyl cellulose (CMC) and CMC-Cu^{II}-terpyridine (CMC-Cu-TER).

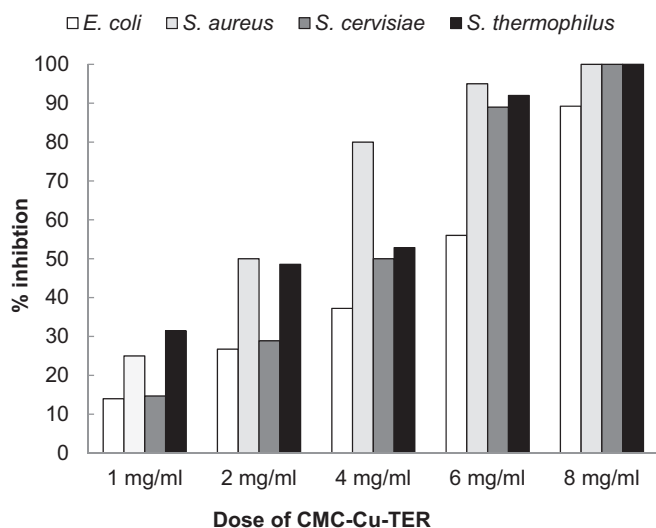


Fig. 8. Effect of CMC-Cu^{II}-terpyridine (CMC-Cu-TER) dose on growth inhibition of *Staphylococcus aureus*, *Streptococcus thermophilus*, *Escherichia coli*, and *Saccharomyces cerevisiae*.

The film of **I** showed higher stiffness based on tensile strength when compared to the film from CMC. The increase in Young's modulus as a result of the introduction of Cu(BF₄)₂-tpyCl to CMC was about 46% while the tensile strength and elongation of film of **I** were lower than CMC by about 20 and 26%, respectively. The decrease in elongation-at-break is due to the higher stiffness within the film of **I**, while the decrease in tensile strength could be attributed to the reduced hydrogen-bonding between the carboxymethyl cellulose chains in their modified form.

3.3. Antimicrobial properties of CMC-CutpyCl(BF₄) films

The antimicrobial activity of CMC-CutpyCl(BF₄) was evaluated by minimal inhibitory concentrations test (MIC) using *S. aureus* and *S. thermophilus* (G +ve), *E. coli* (G -ve), and *S. cerevisiae* yeast. The test can determine the minimum concentration of an antimicrobial material needed to inhibit growth of microorganisms. The grown inoculums containing the aforementioned microorganisms in Tryptic Soy Broth medium in the absence of cellulose derivatives was taken as the control sample.

Previous studies on terpyridine copper complexes showed antimicrobial properties of these complexes against some G +ve and G -ve bacteria (Li, Guo, et al., 2013; Naseri et al., 2012; Patel et al., 2010, 2012, 2012a). Copper complexes of terpyridines proved its ability to break DNA chains of bacteria. Chelation of Cu^{II} with ligands reduces polarity of metal ion by overlap of the ligand orbital and partial sharing of the positive charge of the metal ion with the donor groups. Increase in delocalization of π -electrons over the whole ligand enhances the penetration of complexes into lipid membranes and blocking of the metal binding sites in the enzymes of microorganisms (Chohan, Suparan, & Scozzafava, 2005). The complexes may also disturb the respiration process of the cell and thus blocking the synthesis of proteins, which restricts further growth of the organism and thus results in the overall gain in the biological potency (Patel et al., 2012).

In the current work, as shown in Fig. 8, **I** showed very good antimicrobial activity toward G +ve and G -ve bacteria as well as with the yeast studied. Decrease in number of colonies of bacteria and yeast was observed on increasing the concentration of **I**. At low concentration (1–2 mg/mL), **I** showed higher activity against the G +ve bacteria than G -ve and yeast studied. Complete inhibition of G +ve and yeast was obtained at 8 mg/mL dose of **I** while

the inhibition was about 90% toward the G -ve *E. coli* at the same dose. The obtained results indicate that attaching Cu(BF₄)₂-tpyCl groups to CMC does not affect their known antimicrobial properties. The reason for the high MIC values than those usually reported for antimicrobial agents could be attributed to the polymeric nature of prepared cellulose derivative and the DS values of the CMC used and the prepared CMC-terpyridine derivative. However, such high values are not uncommon for antimicrobial cellulose modified derivatives (Jebali et al., 2013; Zhang et al., 2012).

4. Conclusions

New water-soluble cellulose derivatives were prepared via a supramolecular strategy by reaction of carboxymethyl cellulose with Cu(BF₄)₂-tpyCl complexes. The CMC-CutpyCl(BF₄) hybrid showed very good antimicrobial properties against Gram positive (*S. aureus* and *S. thermophilus*) and Gram negative bacteria (*E. coli*), as well as yeast (*S. cerevisiae*). These types of cellulose polymers have potential applications as coating materials for functional paper products in packaging, medical textiles, as an additive for food products, and in pharmaceuticals. Cytotoxicity evaluations of these derivatives and utilitarian applications are ongoing.

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